



**AML AND ACCOUNT VERIFICATION  
POLICY**

## **1. The Company**

M4Markets is the trade name of Trinota Markets (Global) Limited (hereinafter called as the "Company" or "M4Markets") which is a company regulated as a Securities Dealer by the Financial Services Authority of Seychelles with licence number SD035 (hereinafter referred as "the Company").

## **2. Our Aim**

M4Markets is actively working to prevent all Financial Crime (FC) related activities such as Money Laundering (ML) and Terrorist Financing (TF). To prevent such activities the Company constantly works to verify the identity of all clients, and where necessary to assist government agencies and financial intelligent units (FIUs) with their effort to combat ML and TF. As such, you acknowledge that the Company may require information from you from time to time to comply with the AML and CFT Act of 2020 as amended, the AML Regulations of 2020 as amended, the Prevention of Terrorism Act if 2004, as amended and any other regulation and/or circular issued by the Financial Services Authority ("FSA") of Seychelles from time to time.

## **3. How to verify your M4Markets account**

**Verification is a mandatory part of the process of registering with the M4Markets platform. Regulatory requirements dictate that we verify each new M4Markets account without any exemptions. This keeps our platform secure and prevents untoward activity.**

Upon the completion of your account verification, you will be able to enjoy the full benefits of the M4Markets platform. To verify your account, you are required to upload both a proof of identity (POI) and proof of address (POA) as well as any other documents needed as part of the registration process or thereafter. Only after you provide us all the necessary documents will your verification process begin.

To identify our clients, M4Markets may ask for personal data and take steps to confirm the accuracy of this information using various verification tools. It may take from 24 hours up to couple of workdays to complete this process.

## **4. What is needed from your end**

To be compliant with the regulatory requirements and at the same time be able verify your account, we have in place the following practices:

- I. All clients must submit valid identification documents (i.e. all info should be visible, valid and not expired, clear, without cropping)
- II. Records of clients' identification documents are maintained by M4Markets

**5. The type of documents needed will depend on the type of your accounts:**

| Personal Accounts  | Corporate Accounts   |
|--|--|
| <p><b>Proof of Identity (POI):</b><br/>Your POI document should contain your name, date of birth, a clear photograph, issue date, and if it has an expiry date, that should be visible as well. A passport is the preferred proof of identity, as it is the document which will be quickest for the M4Markets team to process. Alternatively, you can use a driving license or any other government-issued ID. If the document is double-sided, and there's relevant information on the backside, make sure to upload that as well.</p> <p><b>Proof of Address (POA):</b><br/>Your POA document should contain your full name, residential address and an issue date, which should be no older than 3 months. The name or logo of the issuer should also be clearly visible. Please make sure to scan your document against a different-colored background, so that all four corners are visible. Acceptable POA includes bank statement, credit card statement, electricity, water or gas bill, phone or internet bill. council tax bill, tax letter or bill, letter from your local municipality that contains your address.</p> | <ul style="list-style-type: none"> <li>• Certificate of Incorporation</li> <li>• Certificate of Director(s) and Secretary</li> <li>• Certificate of Registered Office</li> <li>• Certificate of Beneficiary Owner(s)/Shares certificate</li> <li>• Certificate of Incumbency/Good Standing</li> <li>• Memorandum &amp; Articles of Association of the Company</li> <li>• The Company's latest signed audited financial statements or the Company's latest management accounts.</li> <li>• POI and POA for every ultimate beneficial owner that owns 25% or more.</li> <li>• POI and POA for all the directors of the company.</li> <li>• A resolution by the Board of Directors (BOD) of the Company for the opening of a trading account with M4Markets and to whom they grant authority to operate the trading account.</li> <li>• Full KYC documentation of the person who will operate the trading account and at least one Director of the Company. Specifically:</li> </ul> <p><i>*Important*</i> The Company's documentation must be recent and original or certified as true copies of the original.</p> |

**Note: the above documents listed in the table prescribe the Company's minimum requirements. Hence, the Company reserves its right to request any further documents deemed necessary.**

**6. Approval Process**

In order to minimize the risk of ML and TF, the Company does not accept cash deposits nor disburses cash under any circumstances. In addition, the Company reserves the right to refuse to process a transfer at any stage, where it believes the transfer is connected in any way to ML or criminal activity.

**7. Funding your account****I. Credit / Debit card:**

If funding with a credit or debit card, a client should send us a copy of both sides of their card. The copy needs to have the name, date of expiry, last four digits on the front and signature on the back clearly visible. We need this to be certain that all transfers are made only to and from your card.

For your security, the Company advice you to cover the card number except for the last four digits and the CVV code on the back.

**II. Bank Wire Confirmation:**

If a client deposits with bank wire, the Company needs to see the confirmation.

**8. Sanctions Review**

All individuals and entities will be subject to a review against applicable lists of sanctioned countries as published by the United Nations and will be periodically rechecked against updated lists. The Company will not accept sanctioned individuals / entities as clients.

**9. Additional queries**

If you have any questions with reference to the documents stated above, please contact our support team at [support@m4markets.com](mailto:support@m4markets.com). Documents should be provided as soon as possible so that we can avoid any delays to your transactions. KYC documents should be scanned or photographed with all edges visible and sent to [support@m4markets.com](mailto:support@m4markets.com).